



LIFE CYCLE ANALYSIS OF BIOCHAR

Waste to Wisdom: Subtask 4.6.5

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ABSTRACT

1
2 All products including bioproducts have an impact on the environment by consuming resources
3 and releasing emissions during their production. Biochar has received considerable attention
4 because of its potential to sequester carbon in soil while enhancing productivity. In addition,
5 using a renewable source of feedstock to make the biochar is more likely to be sustainable. In
6 this chapter, we discuss the environmental impacts of producing biochar using a holistic method
7 called life-cycle assessment (LCA) or more generally life-cycle analysis. LCA is an internationally
8 accepted method that can calculate greenhouse gas (GHG) and other emissions for part or all of
9 a product life cycle. One huge benefit is that LCA provides metrics to compare alternative
10 substitutable products. For example, using the metrics estimated from a LCA study such as
11 impacts of climate change for a new and current product, LCA outcomes can show which
12 product has less impact on the environment and human health and is more likely to be
13 sustainable. LCA can be thought of as an approach similar to financial accounting but instead
14 focused on the environment. Generally, the following chapter will show how LCA can assess
15 impacts of the entire supply chain associated with all steps of the biochar system, from biomass
16 harvesting to soil amendment with a focus on the biomass thermochemical conversion step.
17 Specifically, a description of how the LCA method was developed and is used will be shown in
18 the context of biochar production. Conducting LCA can capture many direct and indirect effects
19 from the production of fuels and materials used in product production. We will also describe a
20 new advanced pyrolysis technology developed in the United States and used to process waste
21 woody biomass, thus exploring biochar LCA from a forestry perspective. Therefore, this chapter
22 will present LCA mostly from a forestry perspective, although agricultural activities will be
23 discussed. The new pyrolysis technology produces biochar, along with synthesis gas, and we
24 will discuss its environmental performance based on the LCA research conducted so far.

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INTRODUCTION

40 Biomass as a feedstock for producing bioproducts has raised substantial attention (Guo *et*
41 *al.*, 2007). Biomass-derived fuels and products are one approach to reduce the need for oil and
42 gasoline imports while supporting the growth of agriculture, forestry, and rural economies
43 (Roberts *et al.*, 2010; McKechnie *et al.*, 2011). In particular, biochar as a bioproduct has
44 received considerable attention because of its carbon (C) sequestration potential and ability to
45 enhance soil productivity (Lehman *et al.*, 2007; Lorenz and Lal, 2014). Thus, biochar as a
46 byproduct of bioenergy production from biomass, including production of heat, energy gas and
47 bio-oil, has the potential to reduce net greenhouse gas (GHG) emissions, improve local
48 economies and energy security (Homagain *et al.*, 2014), and may increase overall site
49 productivity when added back to the soil.

50 Life-cycle assessment (LCA) can be used to evaluate alternative scenarios for their GHG
51 emissions. Categorizing GHG emissions have become crucial to assessing the sustainability of
52 manufactured products. Scenarios include using wood residues such as logging slash or mill
53 residues for feedstock to make biochar. However, there are alternative forest management
54 practices for disposing of logging slash instead of as collecting it for use as raw material of fuel.
55 These include leaving the residues to decompose in the forest, thereby releasing GHG
56 emissions or, worse yet from an emission standpoint, burning logging slash along the ground or
57 in piles to either dispose of waste biomass or reduce impacts of potential wildfires. These
58 practices tend to have worse emissions impacts because prescribed burning not only consumes
59 the logging slash but also much of the down and dead wood on the forest floor, which releases
60 unchecked GHG emissions and particulate matter in the form of smoke. Furthermore,
61 incomplete combustion associated with open burning produces higher levels of methane and
62 NO_x, which have higher global warming potentials (US EPA, 1995; NETL, 2013; Loeffler and



63 Anderson, 2014; Pierobon *et al.*, 2014). In the USA, wildfire-prevention policy objectives exist to
64 drive the use of prescribed burning to reduce fuel loads, but open burning is also widely
65 practiced in silviculture to open grown space for regeneration and in agriculture dispose of crop
66 residues and prepare field for planting. LCA, as a widely- accepted scientific method, can be
67 used to capture these climate change impact differences for the various uses of wood residues
68 and thus enable practitioners and policy-makers to make sound decisions based on science.
69 LCA can be thought of an approach similar to financial accounting but instead accounting for
70 environmental costs and benefits to show what approach would cause the least negative
71 impact.

72 *Four phases of life-cycle assessment*

73 LCA measures the holistic environmental impacts of a product, including resources
74 consumed and emissions released along with the associated environmental impacts. A LCA can
75 cover the life of a product from extraction of raw materials to product production point (i.e.,
76 “cradle-to-gate”) or through distribution, use, and to its final disposal point (i.e., from “cradle-to-
77 grave”) ([Figure 0.1] (ISO 2006a; 2006b; ICLD 2010). This approach is nicely aligned with the
78 supply chain management (SCM) used in manufacturing (Chapter 2), but includes more detailed
79 treatment of emissions, effluents, and waste.

80 [Figure 0.1. goes here]

81 LCAs are comprised of four phases (components) as defined by the International
82 Organization of Standardization (ISO): (1) goal and scope definition, (2) life-cycle inventory (LCI)
83 analysis, (3) life-cycle impact assessment (LCIA), and (4) interpretation (Figure 3.2). An LCA
84 study includes all phases, but an LCI study does not include stage 3.

85 [Figure 0.2. goes here]

86 An LCI measures all raw material and energy inputs and the associated environmental
87 outputs to manufacture a particular product, process, or service on a per unit (functional) basis



88 within carefully defined system boundaries. LCIA as part of an LCA study can use LCI flows to
89 calculate impacts in four areas: human health, social health, resource depletion, and ecosystem
90 function. In the interpretation stage, alternative actions to reduce impacts are systematically
91 evaluated after environmental ‘hotspots’ have been identified (ISO, 2006a; 2006b; ILCD, 2010).
92 Some impact categories related to energy and material consumption are easier to calculate than
93 others. The following sub-sections will discuss the four phases of LCA in more detail.

94 *Goal and scope*

95 The goal and scope definition provide the study framework and explain how, and to whom,
96 results are to be communicated. There are several important items to address during this phase.
97 First, the functional unit is defined for the product system to provide a way to allocate raw
98 material consumption, air emissions, water effluent, and solid waste generated during product
99 production and to enable product comparison. The functional unit similar to a production unit
100 and can be defined as a quantity of a product serving a particular function for a set time. An
101 example of a functional unit is one square meter of installed flooring with a service life of 100
102 year. This functional unit for the installed flooring can be met from renewable products such as
103 wood or bamboo or nonrenewable products like vinyl and enable a product comparison on their
104 environmental performance. Secondly, a system boundary for the product is selected by setting
105 what unit processes will be included in the analysis. The system boundary tracks the
106 environmental inputs and environmental outputs crossing the boundary as shown in Figure 3.1.
107 The system boundary may cover the whole-life cycle of a product or just a single part of the life-
108 cycle from gate-to-gate. Thirdly, to address the most relevant life-cycle stages, cut-off criteria
109 are determined. In a practical sense, the cut-off criteria enable the LCA practitioner to complete
110 the project in reasonable time by omitting inconsequential life-cycle stages or life-cycle stages
111 typically omitted. Lastly, a protocol is described on how the collected primary data will be
112 validated. Primary data are measured and collected in-person and on-site-for the study. For

113 product LCAs, a mass balance is typically performed to aid in this endeavor. In addition, a
114 common practice is to calculate the process energy consumption on a production unit basis
115 (e.g. a cubic meter of dry sawn lumber) and then compared the results to a similar product or
116 products found within secondary data sources such as peer-reviewed literature (ISO, 2006a;
117 2006b; ILCD, 2010).

118 *Life-cycle inventory analysis*

119 The life-cycle inventory phase is the most time- and data-intensive part of conducting a
120 LCA, primarily because primary data must be collected to develop LCI data or flows for the
121 product system being evaluated. Data collection can occur at any stage of the life cycle such as
122 during extraction of raw materials, product production, or use phase depending on the project
123 goal and scope. As for data quality, certain requirements must be met, and the outcome
124 reliability from LCA studies (i.e. LCIAAs) highly depends on the degree to which these data
125 quality requirements are met.

126 Once the primary data are collected, the data are validated and related to the functional unit
127 to produce the aggregation of results (i.e. LCI flows or results). For industry products, a typical
128 aggregation is by the production of the individual company where data collected from the largest
129 company carry the most weight in reporting. LCI flows include the raw material consumed,
130 emissions to air and water, and solid waste generated per functional unit. An intricate step in
131 this calculation process is the allocation of LCI flows e.g., releases to air and water.

132 Complications exist because most existing product systems yield multiple products. As
133 discussed in Chapter 2, the difference between a waste, byproduct, and co-product is variable
134 by discipline, but LCA provides definition based on assigning environmental impacts: waste
135 products have disposal costs, byproducts have marginal costs and marginal value relative to
136 primary products, and co-products are manufactured jointly and use joint product costing in
137 accounting. The complication with this definition is that the same material can be a waste, a



138 byproduct, or a co-product depending on its value and costs, but it is useful to draw a clear line
139 between waste as a material with net costs, especially for disposal, and production outputs that
140 have market value and the potential to generate revenue.

141 For example, sawmills not only produce sawn lumber as a product (i.e. the final product)
142 but also produce chips, sawdust, bark, and shavings as co-products. As mentioned in Chapter 2
143 (Part 2.5.1), these 'co-products' in context of SCM would be considered by-products and not co-
144 products as they are the LCA context because they have some economic value although little in
145 some circumstances. Therefore, the environmental outputs must often be allocated (i.e.
146 assigned) to the different products and co-products. Waste products like boiler ash are
147 considered the same in the LCA and SCM context. The following allocation is recommended for
148 allocation in order of preference. One, wherever possible, allocation should be avoided by using
149 system expansion. Two, where allocation is not avoidable, environmental inputs and
150 environmental outputs should be partitioned between different functions or products in a way
151 that corresponds to the underlying physical relationships between them, such as mass and
152 energy. Three, if 1 or 2 are not viable, allocation should be carried out based on other existing
153 relationships (e.g. in proportion to the revenue of the various products and co-products (ISO,
154 2006a; 2006b; ILCD, 2010).

155 *Life-cycle impact assessment*

156 Life-cycle impact assessment aims to show the potential environmental impacts by using
157 LCI flows found in phase 2. The ISO14040 suggests a LCIA include the following mandatory
158 elements. The first is a selection of impact categories, category indicators, and characterization
159 models. The second is classification, which is the assignment of individual inventory factors to
160 impact categories. For example, CO₂ and N₂O are assigned to the global warming impact
161 category. Other common impact categories are photo oxidant formation, eutrophication, ozone
162 depletion, and acidification. The third mandatory element is characterization, which is the

163 conversion of LCI flows to common units within each impact category, so the LCI flows can be
164 aggregated into category indicator outputs. For example, CO₂ and N₂O are commonly emitted
165 during burning of fossil fuels during transportation. However, though CO₂ is emitted at far
166 greater levels than N₂O, CO₂ has less impact to climate change on a mass basis than N₂O. In
167 addition, another complicating factor is that each GHG gas decays at different rates in the
168 atmosphere. Therefore, each emission must be considered separately for the quantity emitted
169 along with its impact on the individual category indicator output being estimated. Overall, a LCIA
170 provides a systematic approach for sorting and characterizing environmental impacts (ISO,
171 2006a; 2006b; ILCD, 2010). In the United States, a midpoint-oriented LCIA method referred to
172 as the Tool for the Reduction and Assessment of Chemical and other environmental Impacts'
173 (TRACI) was developed by the U.S. Environmental Protection Agency specifically using input
174 parameters consistent with U.S. locations (Bare 2011). Limits and assumptions of the LCA study
175 are listed to enable reproducibility of the results.

176 *Interpretation*

177 The object of the interpretation phase is to reach conclusions and recommendations in line
178 with the defined goal and scope of the study. Results from the LCI and LCIA are combined
179 together and reported to give a comprehensive, transparent, and unbiased account of the study.
180 The interpretation is to be made iteratively with the other three phases.

181 The life cycle interpretation of an LCA or an LCI comprises three main elements: 1)
182 identification of the significant problems based on the outcomes of the LCI and LCIA phases of
183 a LCA; 2) evaluation of outcomes, which considers completeness, sensitivity and consistency
184 checks; and 3) conclusions and recommendations (ISO, 2006a; 2006b; ILCD, 2010).



185 *Types of LCA*

186 There are two main types of LCA along with various hybrid, dynamic, and streamlined
187 methods. We will only discuss the two basic types here.

188 *Attributional*

189 Attributional LCA (ALCA) uses a process-modeling method to find the critical environmental
190 impacts for a particular product referred to as “cradle-to-grave” (raw material extraction to waste
191 disposal) analysis. This is the method that was discussed above. ALCA is a linear approach.
192 Therefore, the magnitude of the functional unit (m^3 or thousand m^3 of biochar applied, for
193 example) does not affect the LCIA outputs (Pennington *et al.*, 2004). For example, one could
194 state that for the global warming impact a value of 10 kg CO₂-eq/ m^3 or 10,000 kg CO₂-
195 eq/thousand m^3 of biochar and they would be equal. Using the LCIA results, an ALCA can
196 locate environmental “hot spots” for a given product system (cradle-to-gate) to provide
197 information for manufacturers (decision makers) regarding process improvements and design
198 (Thomassen *et al.*, 2008, Gaudreault *et al.*, 2010). It is common for ALCA to use other allocation
199 methods besides system expansion listed in section 3.2.1.2 if the LCA practitioner is unable to
200 divide unit processes sufficiently to track impacts. These allocation methods assign
201 environmental burdens to products and co-products. Common allocation methods include mass,
202 energy, and revenue allocations (ISO 2006a; 2006b).

203 *Consequential*

204 Consequential LCA (CLCA) is similar to ALCA in that it is a process-modeling method but is
205 used to describe the (indirect) consequences of a particular decision. CLCA estimates system-
206 wide changes in (material and energy) resource flows and environmental burdens that result
207 from different production levels of the functional unit based on a decision. It is the decision that
208 alters the technology activity (Ekvall and Weidema, 2004; Ekvall and Andrae, 2006). CLCA
209 studies use system expansion to describe the consequences instead of allocation by mass,

210 energy, or revenue. This method examines the effects on marginal electricity consumption for a
211 change in production whereas ALCA evaluates environmental impacts based on modeling
212 average technologies to create a “composite” technology. However, CLCA is not capable within
213 the product system of locating “hot spots” as an ALCA is (Pennington *et al.*, 2004; Thomassen
214 *et al.*, 2008; Gaudreault *et al.*, 2010). Additionally, conducting a CLCA versus an ALCA usually
215 results in greater uncertainty to an individual study reducing its usefulness. Even so, some of
216 the benefits of biochar production are indirect, such as substitution for non-renewable products
217 yielding emissions offsets, making it a relevant method for biochar LCA. Other benefits that
218 could be captured indirectly are: displacement of carbon intensive agricultural inputs through
219 both direct substitution and increased efficiency and carbon sequestration resulting from higher
220 productivity leading to greater soil carbon.

221 *Differences*

222 An ALCA stays within carefully defined boundaries whereas a CLCA does not. CLCA
223 activities may fall outside the original system boundary. For example, a sawmill produces sawn
224 lumber as its final product while producing co-products such as sawdust (Bergman and Bowe,
225 2012). The sawdust is burned for fuel on-site to generate thermal energy for drying the sawn
226 lumber or it is sold off-site. In an ALCA, material is not tracked once it crosses the system
227 boundary and leaves the system. In the case of Bergman and Bowe (2012), sawdust from sawn
228 lumber is not tracked beyond the system boundary, which is the sawmill gate. Its use as either
229 fuel or as raw material for manufactured wood panels by another mill, does not impact the
230 ALCA. An ALCA looks at a moment of time or a “snap-shot” whereas the basis for a CLCA could
231 be to evaluate a market decision. For example, a sawmill may decide to sell sawdust to wood
232 panel manufacturers rather than use it as fuel and use natural gas to fire the boilers to dry sawn
233 lumber because sawdust has more value as a raw material than as a fuel. CLCA attempts to
234 capture the potential environmental effects of selling the sawdust instead of burning it on-site at



235 the sawmill for thermal energy. Depending on the goal and scope of the LCA study, both
236 attributional and consequential methods are useful.

237 **LIFE-CYCLE STAGES FOR BIOCHAR**

238 As mentioned previously, LCAs can address environmental performance of biochar
239 including categorizing GHG emissions along the entire life cycle of a product including carbon
240 sequestration of the biochar when applied to the soil (Figure 3.3). The life-cycle stages include:
241 1) raw material extraction (i.e. feedstock production), 2) raw material (feedstock) logistics, 3)
242 thermochemical conversion, 4) biochar logistics, and 5) product end uses including soil carbon
243 sequestration. However, for a more complete description of the supply chain, the previous
244 chapter (Chapter 2) provides a detailed view of biochar systems in a supply chain context. This
245 section will deal primarily with the thermochemical conversion process from a LCA perspective.

246 [Figure 0.1. goes here]

247 *Raw material extraction*

248 The raw material extraction stage for biochar involves interaction with agricultural and
249 natural systems. In the case of biochar, the raw material is biomass, most often biomass from
250 herbaceous and woody plants. Raw material extraction may include forest or agriculture
251 activities involving cultivation, harvesting, collection, handling and processing including in-woods
252 grinding and chipping and screening. In-woods grinding and chipping are dominated by diesel
253 fuel use. Inputs can include diesel, fertilizer, pesticides, and herbicides and outputs can include
254 fossil CO₂ and N₂O air emissions along with possible nitrogen fertilizer run-off. In the United
255 States and other parts of the world, industrial timberlands tend to have greater inputs of nursery
256 seedlings, herbicides, pesticides and fertilizers than naturally regenerating forests managed by
257 non-industrial landowners. In this case it is clear that management practices can have direct
258 impacts on product attributes and corresponding LCA.

259 *Raw material logistics*

260 The second life-cycle stage is raw material (i.e. feedstock) logistics. For biochar, feedstock
261 transportation typically includes a diesel tractor trailer hauling the feedstock generated at the
262 harvesting site from the landing to the thermochemical conversion facility. Inputs include diesel
263 and outputs include fossil CO₂, volatile organic compounds, and particulate emissions. Raw
264 material logistics may also include multi-stage, multi-mode transportation that includes
265 intermediate facilities to store, concentrate or process biomass. From a LCA perspective,
266 dispersed feedstocks incur higher costs for collection and transportation, which translates to
267 higher emissions from logistics stage.

268 *Thermochemical conversion*

269 Thermochemical conversion life-cycle stage involves the production of biochar from
270 biomass via gasification and pyrolysis or some similar process. These thermochemical
271 conversion technologies are similar to traditional charcoal kilns but under much tighter control to
272 prevent the release of N₂O, CH₄, and particulate emissions associated with the older technology
273 (Woolf *et al.*, 2010). These systems produce biochar, synthesis gas, and pyrolysis oil in different
274 percentages. For pyrolysis systems, these systems always produce some biochar (Gaunt and
275 Lehman, 2008). The intent is to convert the incoming dry feedstock under a controlled
276 environment while preventing the introduction of air (i.e. oxygen) into the system. Typically, the
277 product production life-cycle stage consumes the most energy and materials and thus has the
278 highest environmental impact (Bergman and Gu, 2014; Dutta and Raghavan, 2014). Therefore,
279 finding a mass balance and energy consumption at this stage is of utmost significance to
280 accurately quantify LCI flows and the subsequent LCIA outputs. In addition, incoming feedstock
281 with high moisture content (i.e. “green” feedstock) and large, heterogeneous particles may have
282 to be dried, reduced, and screened before thermochemical conversion, which can have large
283 environmental impacts.



284 Feedstock preparation can be determined separately or be part of the thermochemical
285 conversion life-cycle stage but its impacts must be captured. There are several reasons for
286 these large impacts associated with the incoming green feedstock. First, mechanical size
287 reduction by chipping, hammering and grinding and the subsequent screening required to
288 ensure uniform size are energetically intensive activities. Second, feedstock drying to the
289 appropriate moisture for the selected technology also has high energy demands. The sizing and
290 moisture specification are highly dependent on the thermochemical conversion technology
291 selected to optimize production. This processing ensures the feedstock is properly prepared
292 before thermochemical conversion, but it comes at a price in terms of the energy consumed and
293 its associated environmental impacts. Energy for drying feedstock can come from renewable or
294 non-renewable sources, while the electricity for on-site grinding and chipping and handling
295 comes primarily from grid power, which is dominated by fossil fuels in many locations. If woody
296 biomass is burned as fuel for drying (as in common practice in the forest products industry), the
297 drying process emits biogenic CO₂ emissions directly. However, boiler systems although
298 burning woody biomass as fuel still consume grid power thus emit fossil CO₂ emissions
299 indirectly.

300 It is noted that in addition to the direct effects of burning fuel for energy on-site and grid
301 electricity captured within a LCA, but the indirect effects of its cradle-to-gate production are also
302 considered. Therefore, geographical location of the biochar production plant has substantial
303 effect on the environmental impacts, especially if the energy source for generating electricity has
304 a high portion of fossil fuels such as coal and natural gas, which is common in the eastern
305 United States. Inputs include biomass, electricity, and fossil fuels and outputs include CO₂ and
306 particulate emissions.

307 *Biochar logistics*

308 Once the biochar has been produced, it can be packaged and transported to the application
309 site by a tractor trailer and applied to the soil in several different ways, including manually, by
310 logging equipment or by modified agricultural equipment. Application sequesters black carbon
311 (biochar) on or within the soil, depending on application method. Inputs include diesel and
312 outputs includes fossil CO₂, volatile organic compounds, and particulate emissions.

313 *Soil carbon sequestration*

314 Soil carbon sequestration is the process of transferring CO₂ from the atmosphere into the
315 soil through agricultural crop (i.e., corn or wheat stover) or forest residues (i.e., logging slash),
316 and other organic solids, including biochar (Lal, 2004). These systems can provide GHG
317 mitigation by storing atmospheric CO₂ in live biomass, organic matter, and in the mineral soil
318 (DeLuca and Aplet, 2008; McKechnie *et al.*, 2011). In addition, biomass-derived black carbon
319 (biochar), which is produced as a byproduct of pyrolysis, offers a large and long-term carbon (C)
320 sink when applied to soils (Lehmann *et al.*, 2006). Although large-scale application of biochar to
321 soils in agricultural and forest systems is still in its infancy, the potential exists to provide
322 environmental services to that improve nonproductive or degraded soils and sequester C
323 (Ippolito *et al.*, 2012). Although some biochars contain bioavailable C, it is generally more stable
324 in soil than the C in the original biomass (Ippolito *et al.*, 2012). While biochars will vary, those
325 produced under moderate to high temperatures have stable C that will likely persist for hundreds
326 of years (Ippolito *et al.*, 2012). Stable C can be considered permanently sequestered after 100
327 years (Wang *et al.*, 2014). However, the impact of biochars on greenhouse gases (GHG) is
328 influenced by plant productivity, mineralization of the char, and emissions of methane (CH₄) and
329 nitrous oxide (N₂O). Several studies have shown that biochar-amended soil CO₂ losses are
330 inversely related pyrolysis temperature (Brewer *et al.*, 2012; Kamann *et al.*, 2012; Yoo and
331 Kang, 2012).



332 Feedstocks used to produce biochar influence the physical, chemical, and biological
333 characteristics of biochar and therefore, care must be taken to optimize feedstock selection and
334 pyrolysis production techniques and conditions (Spokas *et al.*, 2012). Biochar can have positive,
335 negative, or neutral effects on plant growth. For example, hardwood biochar applied once to a
336 desert soil in the western United States produced no changes in corn growth 1 yr following
337 application, but a 36% yield decline was noted in year 2 (Lentz and Ippolito, 2012). In a forest
338 stand in central Ontario the short-term impact of adding biochar was an increased calcium and
339 phosphorus and long-term impacts are expected to be achieved when the biochar becomes
340 incorporated into the mineral soil (Sackett *et al.*, 2014). As illustrated in Chapter 15, in the
341 western US, tree growth after biochar additions can also be positive or neutral, but to date no
342 detectable negative effects have been noted. One way to highlight the environmental “hotspots”
343 is to evaluate a new thermochemical conversion technology for its environmental impacts.

344 *LCA of an advance pyrolysis system*

345 A new thermochemical conversion technology, an advanced high-temperature pyrolysis
346 system called the Tucker Renewable Natural Gas (RNG) thermal conversion unit, is under
347 development by Tucker Engineering Associate (TEA), North Carolina, US. The unit is designed
348 to produce high yields of medium-energy synthesis gas that can be used in heat and power
349 applications, or be converted to liquid fuels by catalysis. The system produces a biochar co-
350 product at 10 to 20% yield by dry input weight. This biochar can be used in its raw form, or
351 activated by steam or chemicals to make activated carbon (AC) for liquid and gas filtering
352 applications. In some uses, renewable bio-based AC would substitute for AC made from fossil
353 coal.

354 *Operation*

355 *Feedstock production and logistics*

356 Logs harvested from Montana, US, were processed into wood chips at a western Montana
357 sawmill. The chips are a co-product of the mill's lumber production operations or produced
358 directly by chipping poor-quality whole trees. An 812 kW_e chipper was used for whole-tree
359 chipping, while a 108 kW_e screener operated in conjunction to produce the specified size. These
360 chips were then dried in a saw dust dryer to a moisture content of about 10% to meet the Tucker
361 RNG unit system requirements. The sawdust dryer was fueled by a bark and wood fuel mixture
362 during the drying operation which released biogenic CO₂ emissions. Primary data for the whole-
363 tree chipping, screening and drying processes were collected directly from the mill to help
364 develop the LCI flows.

365 *Advanced pyrolysis*

366 Tucker RNG unit is an advanced pyrolysis system comprised of active and passive sections
367 (i.e. chambers). Figure 3.4 shows the life-cycle stages that fall within the system boundary.
368 Feedstock logistics is embedded within the thermochemical conversion stage. The unit is
369 engineered to maximize synthesis gas (syngas) output in a very low-oxygen reaction chamber
370 at a high temperature between 760°C and 870°C. At these temperatures, the system is
371 endothermic, requiring net inputs of energy, propane to maintain the reaction. Three propane
372 burners provide continuous active heating for the reaction. The residence time for biomass
373 feedstock in the Tucker RNG unit is estimated at 3 minutes for the complete reaction, with equal
374 1.5 minutes residence time in each section. Wood chip feedstock is sent through an air-locked
375 auger system into the active section for high temperature heating. After passing through the
376 active section, the partially converted biochar and hot syngas are transferred in an enclosed
377 auger to the passive section, which uses the residual heat transferred from the active section
378 through a vent system. After transferring heat from the combustion exhaust gases to the passive

379 section, the exhaust gases from burning propane are released directly to the air. The biochar
380 moves through augers inside the passive section of Tucker unit, whereby additional conversion
381 from higher molecular gases into methane occurs. The temperatures measured at the passive
382 heating section are between 510 and 760 °C. After leaving the passive section, the syngas is
383 cleaned and cooled to remove tar.

384 [Figure 0.2. goes here]
385 Syngas leaving the passive section is cooled in a tar condenser to help remove impurities.
386 The tar condenser has a mechanism to remove buildup of tar from the condensing of tars
387 caused by the cooling of the syngas. After cooling, the medium-energy syngas goes through a
388 misting chamber that removes oil and tars before leaving the Tucker RNG unit to an outside
389 storage tank. The two primary products from the system – biochar and medium-energy syngas
390 are collected at separated outlets. The syngas is intended to be combusted for electricity onsite. In
391 this system, biochar is intended to be activated with steam to make AC, but can also be used in
392 its raw form as a soil amendment or a coal replacement. Pyrolysis often produces residual tars
393 which can be a useful output or an undesirable waste product, depending on production
394 objectives. In the Tucker RNG system, the tar can be retorted back to the active heating
395 chamber to produce a low-energy syngas for use as a propane substitute at about 30% of
396 heating demand. However, in this study, the tar/water mixture was considered a waste in the
397 analysis.

398 *Two product components*

399 *Synthesis gas.* The advanced pyrolysis system generates syngas, a medium-energy type.
400 The medium-energy syngas will be burned to generate electricity for the grid. Medium-energy
401 syngas will be referred to as syngas for the remainder of the chapter. The density of syngas is
402 calculated at 1.08 kg/m³. The higher heating value (HHV) was measured at 19.5 MJ/m³ and the
403 lower heating value (LHV) at 18.0 MJ/m³. Electricity is intended to be produced from burning the

404 Tucker RNG syngas in a commercial 1.6 MW_e Caterpillar generator derated to 1.2 MW_e
405 because the syngas's relatively low energy density compared to natural gas. Currently, the
406 Tucker RNG unit will need to produce about two times amount of syngas to generate the same
407 electricity as natural gas does, since the HHV of the produced syngas is one half of the natural
408 gas HHV, 38.3 MJ/m³. The main components by mass of the syngas are CO (55.5%), CO₂
409 (20.1%), and CH₄ (9.2%).

410 *Biochar. The pyrolysis unit also generates a solid product, biochar but at a much smaller*
411 *portion. Biochar on a dry basis has the following properties: 1) a fixed carbon content of about*
412 *89% and 2) an energy content of 32.1 and 31.9 MJ/kg for HHV and LHV, respectively. The*
413 *energy content for biochar is about 50 percent higher on a dry basis than wood (Ince 1979; FPL*
414 *2004).*

415 3.1.1 *Four phases of Tucker RNG unit life-cycle assessment*

416 *Goal and scope*

417 The goal was to evaluate the critical environmental impacts of the bioenergy (syngas
418 electricity) and bio-product (AC) converted from forest or mill residues using an advanced
419 pyrolysis system (Figure 3.4). The scope of the study is to cover the cradle-to-grave life cycle of
420 generating syngas electricity and AC by the advanced pyrolysis system and make comparison
421 with fossil-fuel alternatives. Biochar is a precursor to making AC. The focus of the analysis only
422 covered biochar production and not AC production. The functional unit was 1.0 oven-dry (OD) kg
423 of incoming wood chips. OD units do not indicate that the feedstock was dried to 0% moisture
424 content, but rather is used as a standardized unit that facilitates comparisons between feedstock
425 with different moisture content.

426 *Life-cycle inventory*

427 *Mass balance.* A mass balance was performed and verified data quality provided during a
428 production run. Thermochemical conversion turned the feedstock into syngas (65.5%), biochar



429 (13.9%), and tar/water mixture (20.7%) by mass. The tar/water mixture is primarily water.
 430 Although the pyrolysis unit currently produces the tar/water mixture that could be converted to a
 431 low-energy syngas, this gas was not used as a propane substitute in the present analysis.
 432 Therefore, the low-energy syngas via residual tars is considered a waste under this study's LCA
 433 framework. Thus, the only products that have environmental inputs and environmental outputs
 434 (i.e., LCI flows) assigned to them are the syngas and biochar. These allocations can occur
 435 either by mass or energy. Allocations are 82.5% and 17.5% by mass and 70.8% and 29.2% by
 436 energy for the medium-energy gas and the biochar, respectively.

437 *Cumulative energy consumption.* Evaluating products for their cumulative energy
 438 consumption can be conducted through a LCA. Table 3.1 shows the cradle-to-gate cumulative
 439 energy of 16.6 MJ consumed from pyrolyzing 1.0 OD kg of incoming wood chips to produce
 440 syngas and biochar. In addition, Table 3.1 shows the various fuels that contribute to this 16.6
 441 MJ value. Propane was the major contributor at 44.1%, and wood was second at 22.7%.
 442 Propane was burned to maintain the high temperatures during pyrolysis, while wood was burned
 443 to generate thermal energy to dry the incoming green feedstock.

444 Table 0.1. Cradle-to-gate cumulative energy consumption from pyrolyzing 1.0 ovendry kg wood
 445 chips

Fuel	Unit	Quantity	Higher heating values		Energy	
			(MJ/m ³)	(MJ/kg)	(MJ)	(%)
Natural gas (proxy for propane)	m ³	0.1898	38.4		7.288	44.1%
Wood residue (ovendried)	kg	0.180		20.9	3.759	22.7%
Natural gas	m ³	0.054	38.4		2.068	12.5%
Crude oil	kg	0.04		45.5	1.811	11.0%
Coal	kg	0.055		26.4	1.461	8.83%
Nuclear	kg	3.66E-07		332000	0.121	0.73%
Biomass	MJ	0.021			0.021	0.13%
Hydro	MJ	0.014			0.014	0.08%
Wind	MJ	0.0008			0.0008	0.005%
Total					16.6	100%

446 *Emissions to air and water.* Table 3.2 shows some of the cradle-to-gate environmental
 447 outputs (e.g., emissions to the air and water) from wood pyrolysis. Fossil CO₂ emissions of 542
 448 kg CO₂/OD kg of incoming wood chip came mostly from propane burning to maintain the
 449 endothermic reaction. Biogenic CO₂ emissions of 330 kg CO₂/OD kg came from burning wood
 450 residues as the heating source for the boiler used to dry the wood chips (i.e., green incoming
 451 feedstock). The total emission of each item is allocated to the two primary products based on
 452 the mass ratio of the two. Note that the total environmental outputs for the system listed in the
 453 last column in Table 3.2 will not change regardless of the allocation procedure used.

454 Table 0.2. Cradle-to-gate environmental outputs from pyrolyzing 1.0 oven-dry kg wood chips, mass
 455 allocation

Substance	Unit	Quantity		
		Syngas	Biochar	Total
Air emission				
Carbon dioxide, fossil	g	447	94.9	542
Carbon dioxide, biogenic	g	272	57.8	330
Sulfur dioxide	g	3.82	0.81	4.64
Methane	g	1.77	0.38	2.15
Nitrogen oxides	g	1.18	0.25	1.43
Carbon monoxide	g	0.83	0.18	1.01
Particulates, > 2.5 um, and < 10um	g	0.73	0.16	0.89
Carbon monoxide, fossil	g	0.61	0.13	0.74
Methane, fossil	g	0.34	0.07	0.41
VOC, volatile organic compounds	g	0.13	0.03	0.15
Water effluent				
Suspended solids, unspecified	g	26.93	5.72	32.65
Chloride	g	21.50	4.57	26.07
Sodium	g	6.069	1.29	7.35
BOD5, Biological Oxygen Demand	g	2.81	0.60	3.41
Calcium	g	1.91	0.41	2.32
Lithium	g	0.614	0.13	0.74
COD, Chemical Oxygen Demand	g	0.17	0.04	0.21
Industrial waste				
Bark	g	1.19	0.253	1.44
Tar	g	35.1	7.5	42.6



456 *Life-cycle impact assessment for syngas electricity*

457 Syngas produced from the Tucker RNG unit is intended to fuel an internal combustion
 458 generator to provide electricity to the power grid. Based on generating 0.732 kg (0.676 m³) of
 459 syngas from 1.0 OD kg of incoming wood chips, 1.26 kWh of electricity was generated. For
 460 comparison, a wood power plant burning logging slash generates 1.14 kWh/OD kg (Bergman *et*
 461 *al.*, 2013). Table 3.3 shows the values for the 10 impact categories to produce 1 kWh of syngas
 462 electricity. A GW impact of 0.525 kg CO₂-e/kWh of syngas electricity was estimated without
 463 biochar carbon sequestration being considered.

464 Table 0.3. Cradle-to-gate life-cycle impacts assessment of generating 1 kWh of syngas electricity

Impact category	Unit	Quantity
Global warming	kg CO2 eq	0.525
	kg CFC-11	
Ozone depletion	eq	5.09E-08
Smog	kg O3 eq	0.081
Acidification	kg SO2 eq	0.006
Eutrophication	kg N eq	3.64E-04
Carcinogenics	CTUh	7.05E-08
Non carcinogenics	CTUh	3.88E-08
Respiratory effects	kg PM2.5 eq	4.30E-04
Ecotoxicity	CTUe	0.699
Fossil fuel depletion	MJ surplus	1.158

465 In Table 3.3, note all environmental impacts were applied to the syngas electricity and none
 466 to the biochar. This was because the biochar will be applied to the soil for carbon sequestration.
 467 This means all the impacts tied to feedstock production and logistics and thermochemical
 468 conversion life-cycle stages were assigned to the syngas electricity. Furthermore, the system
 469 boundary stopped at the gate of the conversion facility so the analysis did not include the
 470 impacts of transporting or applying the biochar in the field or in the forest. Of course, because of
 471 the additional fuel needed to transport and apply the biochar, adding these impacts would
 472 increase the quantity of GHG emissions released.

473 To calculate the permanent carbon sequestration benefit, the stable C portion of biochar
 474 was estimated at 80% at the end of 100 yr. In Figure 3.5, GW impacts for the various electricity

475 sources were calculated using LCA modeling software. GW impact from the cradle-to-gate
476 production of syngas electricity showed a notably lower value (0.163 kg eq CO₂/kWh) compared
477 to electricity generated from bituminous coal (1.08 kg eq CO₂/kWh) and conventional natural
478 gas (0.720 kg eq CO₂/kWh), when including carbon sequestration from biochar.

479 [Figure 0.3. goes here]

480 *Interpretation*

481 The LCA on the Tucker RNG unit provides insight into its environmental performance as
482 well as that of other pyrolysis systems. Most notable, the interplay between primary products
483 and co-products is important. This system, which was engineered to produce high-quality
484 syngas from a broad range of waste feedstocks, has relatively high environmental burdens for
485 electricity compared to wood combustion as noted in Figure 3.5 even though the GWI from
486 syngas electricity was substantially lower than the other forms of electricity. Furthermore, if
487 producing high-quality biochar for field application is the main objective, there are many types of
488 thermochemical conversion technologies that use less energy to create this form of biochar,
489 many of which are exothermic and do not require energy inputs to maintain pyrolysis. However,
490 in this case additional processing was performed for the Tucker RNG unit because the biochar
491 produced by this system is meant to be used a precursor for producing AC, thus more energy
492 was required to meet the specific processing requirements of the AC. Perhaps, most important,
493 using biochar products as a soil amendment can significantly improve the GW profile of
494 bioenergy technologies (Figure 3.5). Sequestering the biochar co-product in the soil as a GHG
495 sink definitely lowers systems impacts on climate change compared to other options, such as
496 using it as a fuel (Gaunt and Lehmann, 2008; Roberts *et al.*, 2010).

497 To fully analyze the environmental impacts of the biochar to be used as a soil amendment,
498 a more detailed analysis across multiple potential use scenarios needs to be performed. Figure
499 3.6 from Hammond *et al.* (2011) provides an excellent framework for exploring a more detailed



500 LCA. For example, the Tucker RNG unit LCIA results included only the direct carbon
501 sequestration effect of applying biochar to the soil, whereas there are several indirect effects as
502 noted earlier that should be considered, such as changes in net primary productivity and soil
503 organic carbon, soil N₂O emission suppression, and fertilizer utilization. Indirect effects
504 attributable to efficiency gains and various product substitutions, especially fossil fuel, can then
505 be incorporated into the LCA as described in more detail below.

506 [Figure 0.4. goes here]

507 As with SCM considerations, LCA considerations for biochar used primarily to meet climate
508 change mitigation objectives can be more complicated than the other end uses discussed in
509 Chapter 2. Gaunt and Cowie (2009) identified six specific characteristics of biochar application
510 that can result in net reductions of GHG emissions attributable to biochar systems: 1)
511 sequestration of moderately stable carbon in the soil; 2) avoided emissions of carbon dioxide
512 and methane related to alternative disposal methods such as biomass combustion and
513 decomposition; 3) suppression of methane and nitrous oxide emissions related to changes in
514 soil processes especially for intensively fertilized, irrigated cropland; 4) displacement of carbon-
515 intensive agricultural inputs through direct substitution and increased plant efficiency; 5) carbon
516 sequestration resulting from higher productivity leading to greater soil carbon accumulation; and
517 6) displacement of fossil fuels from biochar co-products. Only the first one, carbon sequestration
518 in the soil, is a direct effect. The other benefits, though supported by research, are indirect and
519 rely on assumptions about the changes in soil processes and characteristics, fate of waste
520 biomass, and market substitutions for fertilizer, fossil fuels, and other carbon-intensive inputs.

521 Lastly, in conjunction with end uses and what was stated above, most biochar research has
522 focused on short-term impacts of biochar applications on soil chemical, physical, and biological
523 properties. However, future work on biochar additions to forest sites should focus on long-term
524 field research that determines changes to nutrient availability, microbial community changes, net

525 GHG emissions, and net C sequestration. Furthermore, to produce a sustainable supply of
526 biochar derived from wood, sustainable production of the feedstock (i.e., raw material) itself
527 must be considered. In the next chapter, Chapter 4, the authors will discuss providing a
528 sustainable feedstock using plantation forests.

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